

PTO/SB/01 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032

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DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)

☒ Declaration Submitted with Initial Filing
OR
☐ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number	102.01
First Named Inventor	OCKENFUSS
COMPLETE IF KNOWN	
Application Number	/
Filing Date	November 14, 2001
Art Unit	
Examiner Name	

As the below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

STRESS FREE AND THERMALLY STABILIZED DIELECTRIC FILTER

(Title of the Invention)

the specification of which

☒ is attached hereto

OR

☐ was filed on (MM/DD/YYYY) [] as United States Application Number or PCT International

Application Number [] and was amended on (MM/DD/YYYY) [] (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

Burden your Statement. This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patent, Washington, DC 20231

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DECLARATION — Utility or Design Patent Application

Direct all correspondence to: <input checked="" type="checkbox"/>		Customer Number or Bar Code Label		OR <input type="checkbox"/>		Correspondence address below	
		30480					
PATENT & TRADEMARK OFFICE							
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.							
NAME OF SOLE OR FIRST INVENTOR:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Georg				OCKENFUSS			
Inventor's Signature				Date			
<i>Georg Ockenfuss</i>				11/14/01			
Residence: City		State		Country		Citizenship	
Santa Rosa		California		United States		German	
Mailing Address							
917 St. Helena Avenue							
City		State		ZIP		Country	
Santa Rosa		California		95404		USA	
NAME OF SECOND INVENTOR:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Robert E.				KLINGER			
Inventor's Signature				Date			
<i>Robert E. Klinger</i>				11/14/01			
Residence: City		State		Country		Citizenship	
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Mailing Address							
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Rohnert Park		California		94928		USA	
<input type="checkbox"/> Additional inventors are being named on the _____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.							

File N : 102.01

**ASSIGNMENT OF ALL INTEREST IN AN INVENTION
BEFORE THE ISSUE OF A PATENT**

We, Georg OCKENFUSS and Robert E. KLINGER, whose full post office addresses are: 917 St. Helena Avenue, Santa Rosa, California 95404, United States; and 7376 Circle Drive, Rohnert Park, California 94928, United States, respectively, in consideration of the sum of \$1.00, the receipt of which is hereby acknowledged, do hereby sell and assign to JDS Uniphase Corporation, whose full post office address is 210 Baypointe Parkway, San Jose, California 95134, United States of America, all our interest in the United States, and all other countries, in and to our invention relating to

STRESS FREE THERMALLY STABILIZED DIELECTRIC FILTER

as fully described and claimed in our application for a patent for such invention and to all our corresponding right, title and interest in and to any patent which may issue therefore

Signed at JDSU this 14th day of November, 2001

Hot C. M. Klinger

Witness.

Georg Ockenfuss

Signature of assignor
Georg OCKENFUSS

Signed at JDSU this 14th day of November, 2001.

Hot C. M. Klinger

Witness.

Robert E. Klinger

Signature of assignor
Robert E. KLINGER

1044-24000